

**NDEPENDENT ASSESSMENT OF THE
POLICY PARTNERSHIP ON SCIENCE, TECHNOLOGY AND INNOVATION (PPSTI)**

Recommendations by Independent Assessor	Comments from member economies and/or APEC Secretariat	Suggested Action to be taken by SCE or PPSTI	Proposed timeline to implement the recommendation by SCE	Agreement on the suggested action (Yes/No)
RECOMMENDATIONS TO SCE				
<p>Recommendation to SCE SCE needs to consider:</p> <ul style="list-style-type: none"> - additional resourcing requirements (if any) for the proposed Expert Advisory Group (Recommendation 2); - whether a common project reporting framework (Recommendation 8) is better developed by SCE and promulgated to all workings groups and policy partnerships (and updated regularly as APEC priorities develop); and - whether the review of PPSTI's Centers should be extended to review Centers sponsored by other Working Groups (but excluding APEC Study Centers). <p>Some of the recommendations will put an additional load on the secretariat. SCE may need to consider Secretariat resourcing, particularly in the light of</p>	<p><u>APEC Secretariat:</u></p> <ul style="list-style-type: none"> - Establishing such a group does not normally entail additional resourcing which requires SCE's involvement; - APEC funded projects are required to submit reports as in the agreed templates from BMC and the fora are requested to submit their reports including the projects annually on their achievements implementing their mandates; - and - Additional resourcing for the Secretariat could be considered by BMC. 	<p>Take note of the suggestions by the assessor as well as the comments by the Secretariat.</p>	<p>On-going</p>	<p>YES</p>

additional responsibilities placed on the program director during 2015.				
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Recommendations by Independent Assessor	Comments from member economies and/or APEC Secretariat	Suggested Action to be taken by SCE or PPSTI	Proposed timeline to implement the recommendation by PPSTI	Agreement on the suggested action (Yes/No)
RECOMMENDATIONS TO PPSTI				
Recommendation to PPSTI 1. PPSTI's Terms of Reference should remain unchanged; however overall PPSTI should place greater emphasis on innovation and innovation policy in its deliberations and sponsored activities.		As per the recommendation.	Ongoing	
Recommendation to PPSTI 2. PPSTI should establish an Expert Advisory Committee made up of experts in industry engagement, innovation and commercialisation policy to assist it to expand its focus beyond S&T and towards innovation and closer involvement of industry including SMEs.		As per the recommendation.	Ongoing	
Recommendation to PPSTI 3. PPSTI's Strategic Plan 2016-2025, should be re-cast to group activities under the ToR rather than sub-groups, with the annual work plan activities also re-cast under the same headings and focussed on short-term targets.	<u>APEC Secretariat</u> : Should PPSTI agree to revise the Strategic Plan, the proposed revision should be submitted the SCE.	As per the recommendation, noting the comments by the Secretariat.	2016	

<p>Recommendation to PPSTI 4. PPSTI, with advice from its Advisory Committee, and drawing on outputs of multi-lateral fora such as the OECD, should agree on definitions of the key terms in its Terms of Reference, including but not limited to “innovation”, “commercialization” and “market-driven” to assist member economies to expand their understanding of innovation policy and focus project proposals appropriately.</p>	<p><u>APEC Secretariat</u>: Should the PPSTI agree to revise the ToR, the proposed revision should be submitted the SCE and SOM.</p>	<p>As per the recommendation, noting the comments by the Secretariat.</p>	<p>2016</p>	
<p>Recommendation to PPSTI 5. PPSTI should take a proactive approach to identification of new projects and activities, to ensure that they cover all of its Terms of Reference and are appropriately aligned with Leaders’ Statements, the relevant Tasking Statement, ECOTECH Priorities and associated targets include targets for involvement of women and SMEs.</p>		<p>As per the recommendation.</p>	<p>Ongoing</p>	
<p>Recommendation to PPSTI 6. In general, PPSTI as a whole should take a more pro-active role in identifying key priority areas so that proposals which enable PPSTI to address all of its Terms of Reference are sought. This means moving away from its current (passive) practice of receiving proposals from individual economies. In order to enhance short-term balance, PPSTI should, in 2016, call for proposals on projects and activities that meet the ToR targets of developing market-based innovation policy (including projects to identify</p>		<p>As per the recommendation.</p>	<p>2016 Onwards</p>	

<p>successful models of seed and venture capital funds, business incubation and acceleration) and market-driven dissemination of technology (and associated legal and regulatory frameworks). Levels of SME engagement should be specified as part of project proposals.</p>				
<p>Recommendation to PPSTI 7. PPSTI should develop its own additional internal procedures for assessing and ranking project proposals against its ToR, with short term preference to be given to those which fill current gaps against the ToR and provide opportunities for appropriate cross-fora and multi-economy collaboration and third party engagement. Only those high-scoring proposals endorsed by PPSTI should be eligible to be self-funded as “APEC PPSTI projects”, should an application for APEC central funding fail.</p>		<p>As per the recommendation.</p>	<p>2016 Onwards</p>	
<p>Recommendation to PPSTI 8. Progress reports for each funded or self-funded PPSTI project should contain clear outcomes against one or more PPSTI ToR AND statements against how the project has met the goals of Leaders’ Statements, ECOTECH Priorities and is contributing to women’s and SME development.</p>		<p>As per the recommendation.</p>	<p>2016 Onwards</p>	

<p>Recommendation to PPSTI 9. Project reports should include a section on translation of project outputs into long-term outcomes for participating economies.</p>		As per the recommendation	2016 Onwards	
<p>Recommendation to PPSTI 10. PPSTI should initiate a formal review of its sponsored Centers, to identify where and how these are contributing to PPSTI's terms of reference, what opportunities there may be to expand their scope to cover more than one term of reference and how they can contribute to broader APEC goals. Where Centers are not meeting PPSTI's objectives, and are not able to change their focus to meet these objectives, PPSTI/APEC endorsement of Centers should cease.</p>		As per the recommendation	2016 Onwards	
<p>Recommendation to PPSTI 11. Any PPSTI-endorsed APEC Center should be asked to report at least annually to PPSTI, outlining how its activities have contributed to PPSTI's goals and the wider goals of APEC.</p>		As per the recommendation	2016 Onwards	
<p>Recommendation to PPSTI 12. PPSTI's three sub-groups need to be clearly aligned with PPSTI's ToR so that there are no gaps in activities. The names of the sub-groups should be changed to more closely align with the ToR and project proposals (which may address more than one ToR) should clearly identify which sub-forum is to provide overarching supervision. Each sub-forum should follow similar reporting guidelines</p>		As per the recommendation	2016 and ongoing	

<p>so that their activities can be compared and contrasted across the board, and the sub-group sessions need to ensure that policy issues are being discussed.</p>				
<p>Recommendation to PPSTI 13. PPSTI should consider running sub-forum meetings in plenary, with the existing Chairs of sub-groups managing proceedings, to enable smaller delegations to attend all sub-group sessions. Plenary sessions need to include discussion of substantive issues (meeting of ToR, gaps, mechanisms to address new APEC directives and policy issues) rather than just reporting on activities..</p>		As per the recommendation	Ongoing	
<p>Recommendation to PPSTI 14. Material on the PPSTI APEC web page needs to be kept current, with outcomes of the most recent meeting updated within 2 months after each meeting, and links through to (at least) executive summaries of past meetings.</p>	The Secretariat takes careful note of this suggestion.	As per the recommendation	Ongoing	
<p>Recommendation to PPSTI 15. PPSTI should seek opportunities for existing projects to collaborate with other APEC fora, either through ongoing involvement or one-off events (e.g. involvement in Symposia)..</p>		As per the recommendation	Ongoing	

<p>Recommendation to PPSTI 16. PPSTI should proactively identify opportunities to work with and be relevant to ABAC through reference to ABAC's current agenda, including considering a joint meeting with ABAC (preferably in the next 12 months) as part of its forward program.</p>		<p>As per the recommendation</p>	<p>Ongoing</p>	
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